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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
055120-0002

In Re Application Of: **W. Sweet et al.**

Serial No.  
09/930,029

Filing Date  
August 14, 2001

Examiner  
Unassigned

Group Art Unit  
2131

Title:

**Method and Apparatus for a Web-Based Application Service Model for Security Management**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**Method and Apparatus for a Web-Based Application Service Model for Security Management**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-2385 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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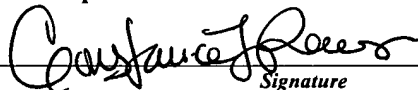
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Dated: December 4, 2001

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# INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

055120-0002

SERIAL NO.

09/930,029

W. Sweet et al.

FILING

August 14, 2001

GROUP

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,375,169	12/20/1994	Seheidt et al.	380	21	5/28/1993
	5,680,452	10/21/1997	Shanton	380	4	2/24/1995
	5,787,173	7/28/1998	Seheidt et al.	380	21	3/12/1997

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Understanding Public Key Infrastructure (PKI): Technology White Paper," RSA Security Inc., Copyright 1999, pp. 1-10, <a href="http://www.rsasecurity.com/products/keon/whitepapers/pki/PKI_WP_0999.pdf">http://www.rsasecurity.com/products/keon/whitepapers/pki/PKI_WP_0999.pdf</a> - 7/17/01
		"X9.69 - 1998: Framework for Key Management Extensions," American National Standard for Financial Services, American National Standards Institute, Approved January 28, 1999, copyright 1998, 4 unnumbered pages, pp. i - vii, pp. 1-18

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

055120-0002

Application Number

09/930,029

Applicant(s)

W. Sweet et al.

Filing Date

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Group Art Unit

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\*EXAMINER  
INITIAL**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

"BioID Software: The Multimodal Biometric Solution,"  
[http://www.bioid.com/english\\_sites/home\\_folder/us\\_specific/home.html](http://www.bioid.com/english_sites/home_folder/us_specific/home.html), 1 p., downloaded July 17, 2001 and  
"BioID. - Biometric Recognition," [www.bioid.com](http://www.bioid.com) - 7/17/01, 4 pp.

"Software Developer's Kit - CKM Technology Overview: CKM Software Developer's Kit," Vol. 1, Version 1.02, TecSec  
Incorporated, copyright 2001, 1 unnumbered page, pp. i - iii, pp. 1-31

B. Schneier, Applied Cryptography: Protocols, Algorithms, and Source Code in C, Second Edition, John Wiley & Sons, Inc.,  
1996, pp. 461-502.

EXAMINER

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